

03/05/02

35 U.S. PTO

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Docket No.: TUC920010117US1

Date: March 5, 2002

10972 U.S. PTO
10/091668
03/05/02

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the patent application of:

Inventor: DIMITRI et al.

For: Apparatus and Method to Provide Failover Protection to a Data Storage and Retrieval System

Enclosed are the following:

- ☒ Specification 25 pages; Claims 22 pages; Abstract 1 page
☒ Declaration and Power of Attorney
☒ Sheet(s) of drawings 10 pages
☒ An assignment of the invention to: International Business Machines Corporation
☐ A certified copy of _____ application No. _____, filed _____
☒ Prior Art Disclosure Statement
☐ Preliminary Amendment

☐ Applicant claims small entity status. See 37 CFR 1.27.

Priority is hereby claimed under 35 USC 119 by way of _____ patent application
 No. _____ filed _____

Benefit is hereby claimed under Title 35, United States Code 119(e) of United States provisional
 application No. _____ filed _____

The filing fee has been calculated as shown below:

		SMALL ENTITY	LARGE ENTITY
BASIC FEE:		\$370.00	\$740.00
TOTAL CLAIMS:	60 - 20 =	x 9 =	40 x 18 = 720.00
INDEPENDENT CLAIMS:	12 - 3 =	x 42 =	9 x 84 = 756.00
MULT. DEPEND. CLAIMS:		+ 140 =	+ 280 =
TOTAL:		\$	\$2,216.00

☒ Form PTO-2038 authorizing credit card payment in the amount of \$2,256.00 to cover the fees is enclosed.

☒ (\$40.00 Assignment recordal fee is included)



Dale F. Regelman
Attorney of Record
Reg. No. 45,625

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No. ET956862505US Date of Deposit: March 5, 2002

I hereby certify that this paper and the papers listed thereon are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, and is addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of person mailing: 
 Name of person mailing: REENA MENDEZ

10091668-030502

Date of Deposit March 5, 2002

Signature of Person Mailing

Name of Person Mailing Reena Mendez

Reena Mendez

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